



TFW

Receipt

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q86056

Nobuyuki TANAKA, et al.

Appln. No.: 10/526,660

Group Art Unit: 1615

Confirmation No.: 3169

Examiner: Unknown

Filed: March 4, 2005

For: INTERMEDIATES IN PROCUCING PHENOXYACETIC ACID DERIVATIVES AND
METHOD OF USING THE SAME

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN: Office of Initial Patent Examination
Filing Receipt Correction
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request
the following correction:

Total Claims: ~~13~~14

Verification for the requested correction is indicated on the Transmittal Sheet filed

March 4, 2005.

Respectfully submitted,

Peter D. Olexy, P.C.
Registration No. 24,513

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 16, 2005



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/526,660	03/04/2005	1615	1700	Q86056	14	13	7

CONFIRMATION NO. 3169

FILING RECEIPT



OC000000016822870

23373
 SUGHRUE MION, PLLC
 2100 PENNSYLVANIA AVENUE, N.W.
 SUITE 800
 WASHINGTON, DC 20037

Date Mailed: 09/06/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Nobuyuki Tanaka, Nagano, JAPAN; ✓
 Tetsuro Tamai, Nagano, JAPAN; ✓
 Harunobu Mukaiyama, Nagano, JAPAN; ✓
 Takehiro Ishikawa, Nagano, JAPAN; ✓
 Junichi Kobayashi, Nagano, JAPAN; ✓
 Satoshi Akahane, Nagano, JAPAN; ✓
 Hiromu Harada, Nagano, JAPAN; ✓

Power of Attorney: The patent practitioners associated with Customer Number 23373. ✓

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP02/09034 09/05/2002 ✓

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Title

Intermediates in producing phenoxyacetic acid derivatives and method of using the same

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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March 4, 2005

MAIL STOP PCT

Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

PCT/JP/2002/009034
-filed September 5, 2002

Re: Application of Nobuyuki TANAKA, Tetsuro TAMAI, Harunobu
MUKAIYAMA, Takehiro ISHIKAWA, Junichi KOBAYASHI, Satoshi
AKAHANE, Hiromu HARADA
INTERMEDIATES IN PRODUCING PHENOXYACETIC ACID
DERIVATIVES AND METHOD OF USING THE SAME
Assignee: **KISSEI PHARMACEUTICAL CO., LTD.**
Our Ref: Q86056

Dear Sir:

The following documents and fees are submitted herewith in connection with the above application for the purpose of entering the National stage under 35 U.S.C. §371 and in accordance with the Patent Cooperation Treaty:

- ☒ a copy of the executed Declaration and Power of Attorney.
- ☒ an English translation of the International Application.
- ☐ 0 sheet(s) of drawings.
- ☐ an English translation of Article 19 claim amendments.
- ☐ an English translation of Article 34 amendments (annexes to the IPER).
- ☒ a copy of the executed Assignment and PTO 1595 form.
- ☒ a PTO/SB/08 A & B (modified) listing the ISR/IPER references.
- ☐ a copy of each reference cited in the ISR/IPER.
- ☒ a Preliminary Amendment.
- ☒ **it is expressly requested that the national stage of processing be commenced immediately in accordance with 35 U.S.C. § 371(f)**

It is assumed that copies of the International Application, the International Search Report and cited references, the International Preliminary Examination Report, and any Articles 19 and 34 amendments as required by §371(c) will be supplied directly by the International Bureau, but if further copies are needed, the undersigned will undertake to provide them upon request.



Sughrue

SUGHRUE MION, PLLC

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The Government filing fee is calculated as follows:

Total claims	<u>14</u>	-	20	=	<u> </u>	x	\$50.00	=	<u> </u>	\$0.00
Independent claims	<u>7</u>	-	3	=	<u>4</u>	x	\$200.00	=	<u> </u>	\$800.00
Base Fee									<u> </u>	\$300.00
Search Fee									<u> </u>	\$500.00
Examination Fee									<u> </u>	\$200.00

TOTAL FILING FEE

\$1800.00

Recordation of Assignment

\$ 40.00

TOTAL FEE

\$1840.00

Checks for the statutory filing fee of \$1800.00 and Assignment recordation fee of \$40.00 are attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this transmittal letter is attached.

Respectfully submitted,

Peter D. Olexy
Registration No. 24,513

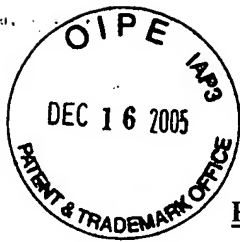
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PLEASE DATE STAMP AND RETURN TO US - BOX 235X

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Nobuyuki TANAKA, et al.

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METHOD OF USING THE SAME

PAPER(S) FILED ENTITLED:

1. Request for Corrected Official Filing Receipt
2. Marked up Copy of Official Filing Receipt
3. Transmittal Sheet

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DOCKET NO.: Q86056
ATTORNEY/SEC: PDO/mnl
Date Filed: December 16, 2005

WASHINGTON OFFICE

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